

PLANNED AUDIT 2015/2016

APPENDIX 1

System Name	Status	Audit Allocation	Total Days Used	Exception Issues	Audit Opinion	No. of Recs	High	No. Agreed	Medium	No. Agreed	Low	No. Agreed	Total Not Agreed
As at 12 January 2016													
JOINT													
Procurement (26 priority score)	Completed	16											
SODC			8.0		Limited	9	2	2	5	5	2	2	0
VWHDC			8.0		Limited	8	1	1	5	5	2	2	0
Payroll (24)	In progress	36											
SODC			5.0										
VWHDC			5.0										
Housing & Council Tax Reduction Scheme (22)	In progress	30											
SODC			2.0										
VWHDC			2.0										
Leisure Centres (22)	Draft out	30											
SODC			18.0										
VWHDC			18.0										
Elections & Election Payments (22)	To commence Q4	30											
SODC			0.0										
VWHDC			0.0										
Council Tax (21)	TOR sent	20											
SODC			0.0										
VWHDC			0.0										
General Ledger (21)	To commence Q4	20											
SODC			0.0										
VWHDC			0.0										
National Non-Domestic Rate (21)	Completed	20											
SODC			10.0		Full	1	0	0	0	0	1	1	0
VWHDC			10.0		Full	1	0	0	0	0	1	1	0
Sundry Debtors (21)	Draft out	20											
SODC			8.5										
VWHDC			8.5										
ICT(21)	TOR sent	20											
SODC			0.5										
VWHDC			0.5										
Creditor Payments (20)	Draft out	20											
SODC			10.0										
VWHDC			12.5										
Pro-Active Anti-Fraud Review (20)	In progress	20											
SODC			1.5										
VWHDC			1.5										
Insurance (20)	In progress	20											
SODC			0.5										
VWHDC			0.5										

Capital Management and Accounting (19) SODC VWHDC	In progress	14	2.5 2.5										
Cash Office (19) SODC VWHDC	Completed	16	6.5 6.5		Limited Limited	5 5	2 3	2 3	3 2	2 1	0 0	0 0	1 1
Licensing (18) SODC VWHDC	Completed	20	10.0 10.0		Satisfactory Satisfactory	7 5	0 0	0 0	2 2	2 2	5 3	4 2	1 1
Treasury Management (18) SODC VWHDC	TOR sent	14	0.5 0.5										
Receipt of Income Arrangements (18) SODC VWHDC	Completed	20	10.0 10.0		Satisfactory Satisfactory	6 6	0 0	0 0	4 4	4 4	2 2	2 2	0 0
HR Management (18) SODC VWHDC	To commence Q4	20	0.0 0.0										
HR Recruitment (17) SODC VWHDC	To commence Q4	20	0.0 0.0										
Partnership Performance Monitoring (17) SODC VWHDC	Completed	20	10.5 10.0		Limited Limited	6 6	3 3	2 2	1 1	1 1	2 2	1 1	2 2
Project Management (17) SODC VWHDC	Draft out	20	10.0 10.0										
Waste Management & Recycling (17) SODC VWHDC	Completed	20	10.0 10.0		Substantial Substantial	3 3	0 0	0 0	1 1	1 1	2 2	2 2	0 0
Consultation (Public & Staff) (16) SODC VWHDC	Completed	10	5.0 5.0		Substantial Substantial	3 3	0 0	0 0	1 1	1 1	2 2	2 2	0 0
Neighbourhood Planning Grants (15) SODC VWHDC	In progress	10	9.0 9.0										
Development Management (13) SODC VWHDC	Completed	20	10.5 10.5		Satisfactory Satisfactory	3 3	0 0	0 0	2 2	2 2	1 1	1 1	0 0
LEADER Project Grant Verification (10) SODC VWHDC	N/A	5	0.0 0.0	No longer required.	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
IA PLANNED AUDIT TOTALS	-	531	299		Full Substantial Satisfactory Limited Nil	0 0 4 2 0							
						83	14	12	37	35	32	28	8